

UNION PACIFIC RAILROAD COMPANY



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UPGRADE

**TO:** Operating General Chairmen

**SUBJECT:** Change in Discipline Policy

**DATE:** May 7, 2007

The assessment levels of three rules will be changed in the assessment table of the discipline policy (UPGRADE), effective May 15, 2007. The changes are as follow:

Rule 81.13.1 (Going between cars to make adjustments) is now a cardinal rule, making it a level 3 rule. Rule 81.13.1 was previously a level 1 rule. The assessment level was changed to bring it in line with cardinal rule 83.13.3 (Coupler adjustments).

Rule 6.32.2 (Automatic Warning devices) moves from a level-2 to a level-4, if the violation results in a decertifiable event. If there is no decertifiable event, the charge will remain at level-2. This rule requires the train crew to take certain precautions before occupying a grade crossing.

Rule 9.2.14 (Stop and proceed) moves from a level 3 to a level 4. The assessment level was changed to bring it in line with rule 9.2.15 (Stop).

The discipline policy (UPGRADE), with all revisions, is available on the UP Web Site at Departments/Safety/Operating Practices/Policies and Procedures for Ensuring Rules Compliance.

Sincerely,

A handwritten signature in black ink, appearing to be "Joe", written over a faint, illegible typed name.

**Joseph M. Santamaria**  
Vice President Transportation

**UNION PACIFIC RAILROAD**  
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